

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

WASHIZU, Masao et al.

Serial No.: 09/670,399

Filed: September 27, 2000

For: METHOD FOR SEPARATING SUBSTANCES USING DIELECTROPHORETIC FORCES



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TC 1700

Group Art Unit: 1743

Examiner: Jennine M. Brown

AMENDMENT TRANSMITTAL

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

August 14, 2003

Sir:

Transmitted herewith is an Amendment in the above-identified application. The fee has been calculated as shown below:

	CLAIMS AS AMENDED						
	Claims Remaining After Amendment	Highest Number Previously Paid For		Present Extra	Small Entity	Large Entity	Additional Fee
Total Claims	56	54	=	2	X \$9	X \$18	\$36.00
Independent Claims	12	12	=	2	X \$42	X \$84	
First Presentation of Multiple Dependent Claims (Previously paid for)					\$140	280	
TOTAL FEES ENCLOSED:							\$36.00

XX Enclosed please find our check in the amount of **\$36.00** for the additional claims fee in connection with this amendment. The Commissioner is hereby authorized to charge payment for any additional fees associated with this communication or credit any overpayment to Deposit Account No. 01-2340. Two duplicates of this sheet are attached.

Respectfully submitted,

ARMSTRONG, WESTERMAN & HATTORI, LLP

Donald W. Hanson
Attorney for Applicants
Reg. No. 27,133

Atty. Docket No. 001268
Suite 1000, 1725 K Street, N.W.
Washington, D.C. 20006
(202) 659-2930
DWH/nk



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PATENT TRADEMARK OFFICE



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RCE/1700

**REQUEST FOR
CONTINUED EXAMINATION
(RCE)
TRANSMITTAL**

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for the continued examination of a utility or plant application filed on or after June 8, 1995.

Application Number	09/670,399
Filing Date	September 27, 2000
First Named Inventor	Washizu, Masao et al
Group Art Unit	1743
Name of Examiner	Jennine M. Brown
Attorney Docket No.	001268

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

Note: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53 (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See changes to Application Examination and Provisional Application Practice, Interim Rule, 65 Fed. Reg. 14865 (Mar 20, 2000) 1233 Off. Gazette Pat Office (April 11, 2000) which established RCE practice.

1. **Submission Required Under 37 C.F.R. § 1.114**

a. ☒ **Previously submitted**

i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on _____

(Any unentered amendment(s) referred to above will be entered)

ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____

iii. ☐ Other _____

b. ☒ **Enclosed**

i. ☐ Amendment/Reply

ii. ☐ Affidavit(s)/Declaration(s)

iii. ☐ Information Disclosure Statement (IDS)

iv. ☒ Other Preliminary Submission and Petition for Extension of Time

2. **Miscellaneous**

a. ☐ Suspension of Action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of _____ months (period shall not exceed three months; Fee under 37 C.F.R. § 1.17(i) required)

b. ☐ Other _____

3. **Fees** The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

a. ☒ The Director is hereby authorized to charge any deficiency in the following fees, or credit any overpayments, to Deposit Account No. 01-2340

i. ☒ RCE fee required under 37 C.F.R. § 1.17 (e)

ii. ☒ Extension of Time Fee (37 C.F.R. §§ 1.136 and 1.17)

iii. ☐ Other _____

b. ☒ Check in the amount of \$1,606.00 in the amount of \$786.00 for the filing fee and \$820.00 for an additional two-month extension of time is enclosed.

08/15/2003 JADD01 00000006 09670399

02 FC:1801
03 FC:1202

750.00 OP
36.00 OP



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REQUEST FOR CONTINUED
EXAMINATION (RCE)
TRANSMITTAL

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Atty Docket No.

001268

SIGNATURE BY APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name Donald W. Hanson

Registration No. 27,133

Signature

Date August 14, 2003

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as first
class mail in an envelope addressed to Commissioner for Patents, BOX RCE, Washington, D.C. 20231, or
facsimile transmitted to the U.S. Patent and Trademark Office on:

Name

Signature

CARD NO: 04315

U.S. Patent Application Docket No: 001268
Serial No: 09/670,399 Filed: 09/27/00
Patent Number: Issued:
Applicant(s): WASHIZU, Masao et al.

Papers filed herewith on: 08/14/03

Fees: \$ 1,606.00

Req. for Ext. of Time

Other: RCE Appln; Amd Transmittal; Preliminary
Submission



COMMISSIONER OF PATENTS

Receipt is hereby acknowledged of the papers filed as indicated
in connection with the above identified case.

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In re the Application of:

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Group Art Unit: 1743

Examiner: Jennine M. Brown

For: METHOD FOR SEPARATING SUBSTANCES USING
DIELECTROPHORETIC FORCES

PRELIMINARY SUBMISSION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Date: August 14, 2003

Sir:

Prior to examination, please amend the above-identified application as set forth
below:

Amendments to the Claims begin on page two of this paper.

Remarks begin on page thirteen of this paper.

08/15/2003 JADD01 00000006 09670399

~~02 FC:1801~~
03 FC:1202

~~750.00 OP~~
36.00 OP